

KNOW YOUR CUSTOMER (KYC) PROFILE FORM - FOR NON PERSONAL CUSTOMERS
 (Requirement in terms of the Financial Transaction Reporting Act No 06 of 2006 and other Foreign or Local Law)

SECTION A - BASIC INFORMATION OF THE ACCOUNT

(Please Tick "✓" the appropriate boxes)

I. Name of the Business

II. Nature & Purpose of Business/Organization

III. Registered Address

VI. Postal Address

V. Countries involved in the Business

VI. Foreign Address (If any)

VII. Date of Incorporation

VIII. Business Reg. Number

IX. Tax File No.

X. Does the Business / Entity have any Foreign Investor Yes No

(A Foreign Citizen / Dual Citizen / Non Resident)

If "YES" Please specify :

1. The Country

2. Percentage of Investment

XI. Purpose for Opening the Account

- Business Transactions
- Savings/ Investment
- Other (Specify).....
- Social and Charity
- Trust

XII. Source of Funds

- Sales and business turnover
- Contract Proceeds
- Commission Income
- Sale of Property / Assets
- Investment Proceeds
- Profit / Professional Income
- Export Proceeds
- Donations / Charities (Local / Foreign)
- Other (Specify).....

XIII. Expected Monthly Turnovers

- Less than Rs.200,000
- Rs.200,000 to Rs.1,000,000
- Rs.1,000,000 to Rs.3,000,000
- Over Rs.3,000,000

XIV. Expected Mode of Transactions

- Cash
- Cheque
- Fund Transfer
- All mode of forms

XV. Other Connected Businesses/ Professional Activities / Expected Type of Counter-parties
 (Indicate in brief : Major Customers / Suppliers and other connected parties) if applicable

XVI. List of Subsidiaries and Business Associates

SECTION - B MANDATORY CHECKS (FOR OFFICE USE ONLY)

I. Customer Type

- Proprietorship Public Company Clubs/Societies/Associations Trust/Charities
 Partnership Private Company Gov/Institute/Bank NGOs/NPOs

II. Required documents in the case of Proprietor/Partnership

- Copy of the Business Registration Proprietor's/Partner's Information (KYC Form)

III. Required documents in the case of the Corporations/Limited Liability Company

- Certificate of Incorporation Lists of subsidiaries and affiliates
 KYC of all Directors Details of names of the signatories
 Bank references (if applicable) Beneficial ownership deceleration

IV. Required documents in the case of Clubs, Societies, Charities, Associations, Trust and Non-Government Organizations

- Copy of the Constitution/Charter etc..
 Committee or Board Resolution authorizing the account opening Other connected institutions/associates/organizations
 The source and level of income/funding Trust Deed (For Trust Accounts Only)
 KYC for all related parties Ministry approval (for NGOs/NPOs/Charities)

V. Politically Exposed Persons (PEPs)/ Direct Connection to PEPs (include immediate family and close associates)

- Yes No

FIU Definition

PEP's (Politically Exposed Persons) - Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions. E.g. Head of State or Government, Senior Politicians, Senior Government, Judicial or Military Officials, Senior Executives of State Owned Corporations, important Political Party Officials.

Immediate Family Member - Includes the Spouse, children and their spouses or parents, parents, siblings and their spouses and grandchildren and their spouses.

VI. Foreign NGO Branch

- Yes No

VII. Geographical Area

Customer is residing within a reasonable distance of the Branch

- Yes No

Reason for opening account out of the Geographical area of the branch

VIII. Has FATCA deceleration been obtained in respect of customers who stated "Yes" in Section A - (X) above and also any specified US person that owns directly or indirectly more than 10% of shares of such entity

- Yes No

XI. Other Details/Remarks/Notes (if any)

X. Does the customer appear in a UNSCR Sanction list or any other Alert list If "Yes" please specify

- Yes No

I confirm that the details given above are true and correct and I authorize you to make any inquiries as you deem necessary for any assessment or confirmation of the above Particulars/ identifications from Department for Registration of Persons (DRP) or any other sources

	Authorized Signatory's Name	Signature with Company Seal	Date
1.			
2.			
3.			

(OFFICE USE ONLY)

Product Type

Lease	FD	Savings	Gold Loan	Mortgage
Transaction Ref No.	Date		CIF No	Customer Grading
Transaction amount	Rs.		(Only for Fixed Deposit Customers)	
Transaction mode :	Cheque		Cash	

Information Checked and Confirmed

Introducer (Staff)	Staff No.	Signature	Authorized by Unit Head
Client Manager			Staff No.
Branch Manager			

Risk Unit

Risk Rating	Date	Next Review Date	System Entered by	Staff No.	Signature
			Checked By		